

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: 7,685,038  
Issued: March 23, 2010 Confirmation No. 5888  
Name of Patentee: Stevens et al.  
Title of Invention: METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES

Commissioner for Patents  
Office of Patent Publication  
ATTN: CERTIFICATE OF CORRECTION BRANCH  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
FOR PTO MISTAKE (37 CFR 1.322)

1. Attached please find Form PTO/SB/44.
2. The exact page and line number where the errors are shown correctly in the application file are listed on the attached Form PTO/SB/44.
3. Applicant submits that the error was a PTO mistake since the error is in the spelling of an inventor's name and the spelling is correct on the declaration.
4. Please send the Certificate of Correction to:  
Name: MOORE & VAN ALLEN PLLC FOR BOFA  
Address: 430 Davis Drive, Suite 500  
PO Box 13706  
Research Triangle Park, NC 27709
5. It is not believed that any fees are required. However, in the event that the it is determined that the error was due to Applicant's mistake and additional fees are necessary to allow consideration of this correction, such fees required therefore are hereby authorized to be charged to Deposit Account No. 13-4365.

Signature: 

Attorney at Law: Christopher W. McAvoy

Attorney/Agent Registration No. 57,055

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 2

PATENT NO. : US 7,685,038  
APPLICATION NO.: 10/711,889  
ISSUE DATE : March 23, 2010  
INVENTOR(S) : Ross L. Stevens; George S. Corey, Jr.; Vlad Portnoy

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the first page of the Patent, in the list of Inventors, the name of the third inventor reading "Vkad Portnoy" should read --Vlad Portnoy--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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